



# THE LAW OFFICE OF STEVEN G. ROEDER

Patents, Trademarks and Copyrights

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Williams et al. }  
Serial No.: 09/768,975 } Art Unit  
Filed: January 23, 2001 } 2652  
For: A DUAL STAGE, HEAD STACK ASSEMBLY }  
FOR A DISK DRIVE }  
Examiner: Unknown }  
Attorney Docket: Q01-1000-US1/11198.52 }

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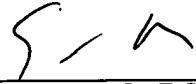
## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Technology Center 2600

Assistant Commissioner for Patents  
Washington, D. C. 20231

The Information Disclosure Statement submitted herewith is being filed within three months of the filing of the above-captioned application, or date of entry into the national stage of an international application, or before the mailing date of a first Office Action on the merits whichever occurs last. 37 CFR 1.97(b).

The Commissioner is hereby authorized to charge payment of any necessary fees associated with this communication or credit any overpayment to Deposit Account No. 50-1141. A duplicate of this request is enclosed.

Respectfully submitted this 18 of July, 2001.

  
\_\_\_\_\_  
STEVEN G. ROEDER  
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Registration No. 37,227



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PATENT

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The following sections are being submitted for this Information Disclosure Statement:

1. X Preliminary Statements
2. X FORM PTO - 1449 (Modified)
3.    Identification of Prior Application In Which Listed Information Was Already Cited and For Which No Copies are Submitted Or Need be Submitted.
4. X Copies of Listed Information Items Accompanying This Statement.
5.    Concise Explanation of Non-English Language Listed Information Items.
6.    Translation(s) of Non-English Language Documents.
7.    Certification Under 37 C.F.R. §1.97.(e).
8.    Fee as required under 37 C.F.R §1.17(p).
9.    Petition requesting consideration of Statement and Petition Fee as set forth in 37 C.F.R. §1.17(i)(1).
10. X Identification of Person(s) Making This Information Disclosure Statement.



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**Section 1. Preliminary Statements**

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.



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**Section 4. Copies of Listed Information Items Accompanying This Statement.**

Legible copies of **all** items listed below accompany this information statement.

<u>Patent No.</u>	<u>Date</u>	<u>Name</u>	<u>Country</u>
6,069,771	May 2000	Boutaghou et al.	United States
6,043,957	March 2000	Hattori et al.	United States
6,016,692	Jan. 2000	Schaenzer et al.	United States
6,005,743	Dec. 1999	Price et al.	United States
5,936,805	Aug. 1999	Imaino	United States
5,867,347	Feb. 1999	Knight et al.	United States
5,761,007	June 1998	Price et al.	United States
4,520,570	June 1985	Bednorz et al.	United States
5,898,544	April 27, 1999	Krinke et al.	United States
5,959,808	Sept. 28, 1999	Fan et al.	United States
6,005,742	Dec. 21, 1999	Cunningham et al.	United States
6,034,843	March 7, 2000	Tsujino et al.	United States
6,069,771	May 30, 2000	Boutaghou	United States
PCT/JP92/00939	07/07/93	Fujitsu Limited	Europe

Authors: C.E. Yeack-Scranton, V.D. Khanna, K.F. Etzold, A.P. Praino, Title of Article: An Active Slider for Practical Contact Recording, Title of Book: IEEE Transactions on Magnetics, Volume 26, No. 5, September 1990



**Section 5. Identification of Person(s) Making this INFORMATION DISCLOSURE  
STATEMENT**

The person making this statement is

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(a) X the attorney who signs below on the basis of:

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X the information supplied by the inventor(s)  
   an individual associated with the filing and prosecution of this application (37 CFR 1.56(c))  
X the information in the attorney's file

*S / R*  
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**CERTIFICATE OF MAILING UNDER 37 CFR §1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on this the 18 day of July, 2001.

*S / R* STEVEN G. ROEDER, Attorney for Applicant--Registration No. 37,227